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Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	02572 09/937996
First Inventor	Holger LUTHJE
Title	STEERING DEVICE FOR VEHICLES
Express Mail Label No.	EL 870010810 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☒ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP)

of prior application No. _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

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(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Jodi-Ann McLane, Salter & Michaelson				
Address	321 South Main Street				
City	Providence	State	RI	Zip Code	02903-7128
Country		Telephone	401-421-3141	Fax	401-861-1953

Name (Print/Type)	Jodi-Ann McLane	Registration No. (Attorney/Agent)	36,215
Signature	Jodi-Ann McLane	Date	September 28, 2001

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09/937996

JC09 Rec'd PGT/PTO 28 SEP 2001

PTO/SB/17 (12/99)

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**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$836.00)

Complete if Known

Application Number	PCT/EP00/02839
Filing Date	September 28, 2001
First Named Inventor	Holger LUTHJE
Examiner Name	To be assigned
Group / Art Unit	
Attorney Docket No.	02572

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Deposit Account Name Salter & Michaelson

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	710.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$710.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
27	-20** = 7	18	126
Independent Claims	2	-3** = 0	0
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$126.00)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	0.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 380	216 190	Extension for reply within second month	0.00
117 870	217 435	Extension for reply within third month	0.00
118 1,360	218 680	Extension for reply within fourth month	0.00
128 1,850	228 925	Extension for reply within fifth month	0.00
119 300	219 150	Notice of Appeal	0.00
120 300	220 150	Filing a brief in support of an appeal	0.00
121 260	221 130	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,210	241 605	Petition to revive - unintentional	0.00
142 1,210	242 605	Utility issue fee (or reissue)	0.00
143 430	243 215	Design issue fee	0.00
144 580	244 290	Plant issue fee	0.00
122 130	122 130	Petitions to the Commissioner	0.00
123 50	123 50	Petitions related to provisional applications	0.00
126 240	126 240	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)			0.00
Other fee (specify)			0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

Name (Print/Type)	Jodi Ann McLane	Registration No. (Attorney/Agent)	43,299	Telephone	401-421-3141
Signature	Jodi Ann McLane	Date	9-28-01		

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U.S. National Phase of PCT/EP00/02839

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Respectfully submitted,
LÜTHJE, Holger et al., Applicants

By: 

Jodi Ann McLane, Reg. No. 36,215
Applicants' Attorney
SALTER & MICHAELSON
321 South Main Street
Providence, Rhode Island 02903
Telephone: 401/421-3141
Facsimile: 401/861-1953
Customer No. 000987

Adjustment date: 02/14/2002 BCMPBEL
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02 FC:966 -126.00 DP

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FC: 704 \$836.00 CR

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ATTORNEY DOCKET NO. 02572

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: LÜTHJE, Holger et al.
Serial No: To be assigned
Filed: Filed Concurrently herewith
U.S. National Phase of PCT/EP00/02839
Filed: March 30, 2000
For: STEERING DEVICE FOR VEHICLES
Examiner: To be assigned
Art Unit: To be assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination of the above-referenced application, entry of this preliminary amendment is respectfully requested. Please amend the above-identified application as follows:

In the Specification:

Please amend the specification as follows:

Please replace the specification with the substitute specification, excluding claims, submitted herewith under 37 C.F.R. 1.125(b).

In the claims:

Please rewrite the claims as follows:

1. (Amended Once) A steering device for vehicles including a steering shaft, the steering device comprising:

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a sensor for determining the movement of said steering shaft, and a circuit for evaluating the measuring signals of the sensor;

coded microstructures are provided on the steering shaft and/or on a device that is connected to the steering shaft in a non-positive manner, that a sensor is provided, which detects the microstructures and outputs associated measuring signals, and

that an electronic circuit is provided, to which the measuring signals of the sensor are fed and which outputs electronic signals for steering.

2. (Amended Once) The steering device of claim 1, wherein the microstructures form a succession of sequences arranged in an axial direction on the steering shaft and/or the device non-positively connected thereto.

3. (Amended Once) The steering device of claim 2, wherein each sequence comprises multiple and/or single structures arranged spatially in an azimuthal and/or axial direction and containing individual or block-type coding.

4. (Amended Once) The steering device of claim 2, wherein the sequences contain bit coding.

5. (Amended Once) The steering device of claim 2, wherein a plurality of sequences are combined in a block, the blocks being distinguishable from each other by coding.

6. (Amended Once) The steering device of claim 2, wherein the sequences arranged in an axial direction are present in redundant form, offset parallel more than once over the periphery of the steering shaft (20) and/or device.

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7. (Amended Once) The steering device of claim 1, wherein the microstructures are in complementary form.

8. (Amended Once) The steering device of claim 1, wherein the smallest details of the microstructures have lateral dimensions of 5 nm to 5 mm.

9. (Amended Once) The steering device of claim 8, wherein the smallest details of the microstructures have lateral dimensions of 1 μ m to 1 mm.

10. (Amended Once) The steering device of claim 1, wherein the microstructures have a thickness of 5 nm to 1 mm.

11. (Amended Once) The steering device of claim 10, wherein the microstructures have a thickness of 100 nm to 100 μ m.

12. (Amended Once) The steering device of claim 1, wherein the microstructures have a level surface and are levelled by a planarizing method.

13. (Amended Once) The steering device of claim 1, wherein the microstructures are built up from or covered with tribological hard-material layered systems.

14. (Amended Once) The steering device of claim 13, wherein the hard-material layered systems are single films or multi-layer films of TiN and/or TiAlN and/or TiCN films and/or aluminium oxide films and/or amorphous diamantine hydrocarbon films with and without metal doping and/or amorphous CN films and/or cubic boron nitride films and/or diamond films.

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15. (Amended Once) The steering device of claim 1, wherein the sensors are arranged in the form of a line and/or array.

16. (Amended Once) The steering device of claim 1, wherein the sensors are optical sensors.

17. (Amended Once) The steering device of claim 16, wherein the sensors are optical fibreglass sensors.

18. (Amended Once) The steering device of claim 17, wherein the sensors are fibre-optical double or multiple sensors.

19. (Amended Once) The steering device of claim 16, wherein the microstructures are in the form of a reflection hologram.

20. (Amended Once) The steering device of claim 1, wherein the sensors are magnetic sensors.

21. (Amended Once) The steering device of claim 20, wherein the magnetic sensors are in a linear arrangement for reading a multi-bit code, particularly an 8-bit code.

22. (Amended Once) The steering device of claim 20, wherein the sensor has a reading head with polar structures arranged on an arc matching the diameter of the steering shaft.

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23. (Amended Once) A method of making a steering device including a steering shaft, the method comprising the steps of:

applying coded microstructures on the steering shaft or on a device non-positively connected to the shaft using thin film methods, and that structuring is effected by photo-lithographic methods; detecting the microstructures and outputting an associated measuring signal; and evaluating the measuring signal to determine appropriate action for steering control.

24. (Amended Once) The method of claim 23, wherein the thin-film method is a PVD and/or CVD method.

25. (Amended Once) The method of claim 23, wherein the microstructures are formed by a dry etching process and/or a wet-chemical etching process.

26. (Amended Once) The method of claim 23, wherein the microstructures are produced by a laser beam process.

27. (Amended Once) The method of claim 26, wherein the laser beam process used is a direct-writing laser ablation process and/or a laser-lithographic process and/or a direct-action mask-related laser-structuring process.

REMARKS

By way of this Preliminary Amendment, the English translation of the Specification has been amended to conform to U.S. Practice and to correct other informalities due to translation. A Substitute Specification excluding claims under 37 C.F.R. 1.125(b) is submitted herewith accompanied by a marked-up copy of the specification showing the matter being added to and the

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matter being deleted from the specification of record. The Substitute Specification does not include new matter.

In addition, by the present amendment, claims 1-27 have been amended to conform to U.S. Practice and to correct other informalities. These amendments are not considered to narrow the scope of the claims.

The Applicants respectfully submit that no new matter has been added by this Preliminary Amendment, and respectfully requests entry of this preliminary amendment.

CONCLUSION

In view of the foregoing amendments and remarks, the Applicants respectfully submit that the pending claims in the above-identified application are in condition for allowance, and a notice to that effect is earnestly solicited.


If the present application is found by the Examiner not to be in condition for allowance, the Applicants hereby request a telephone or personal interview to facilitate the resolution of any remaining matters. Applicants' attorney may be contacted by telephone at the number indicated below to schedule such an interview.

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Respectfully submitted,
LÜTHJE, Holger et al., Applicants

By: 
Jodi Ann McLane, Reg. No. 36,215
Applicants' Attorney
SALTER & MICHAELSON
321 South Main Street
Providence, Rhode Island 02903
Telephone: 401/421-3141
Facsimile : 401/861-1953
Customer No. 000987

Version with marking to show changes to claims

1. (Amended Once) A steering device for vehicles[, comprising;] including a steering shaft,
the steering device comprising:

[a steering shaft [(20)],] a sensor [(35)] for determining the movement of said steering shaft, and a circuit [(40)] for evaluating the measuring signals of the sensor [(35)], [characterised in that];

coded microstructures [(31)] are provided on the steering shaft [(20)] and/or on a device that is connected to the steering shaft in a non-positive manner, that a sensor [(35)] is provided, which detects the microstructures [(31)] and outputs associated measuring signals, and that an electronic circuit [(40)] is provided, to which the measuring signals of the sensor [(35)] are fed and which outputs electronic signals for steering.

2. [A] The steering device [according to] of claim 1, [characterised in that] wherein the microstructures [(31)] form a succession of sequences arranged in an axial direction on the steering shaft [(20)] and/or the device non-positively connected thereto.

3. [A] The steering device [according to] of claim 2, [characterised in that] wherein each sequence comprises multiple and/or single structures arranged spatially in an azimuthal and/or axial direction and containing individual or block-type coding.

4. [A] The steering device [according to] of claim 2 [or 3], [characterised in that] wherein the sequences contain bit coding.

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5. [A] The steering device [according to any] of claim[s] 2 [to 4], [characterised in that] wherein a plurality of sequences are combined in a block, the blocks being distinguishable from each other by coding.
6. [A] The steering device [according to any] of claim[s] 2 [to 5], [characterised in that] wherein the sequences arranged in an axial direction are present in redundant form, offset parallel more than once over the periphery of the steering shaft [(20)] and/or device.
7. [A] The steering device [according to any] of [the preceding] claim[s] 1, [characterised in that] wherein the microstructures [(31)] are in complementary form.
8. [A] The steering device [according to any] of [the preceding] claim[s] 1, [characterised in that] wherein the smallest details of the microstructures [(31)] have lateral dimensions of 5 nm to 5 mm.
9. [A] The steering device [according to] of claim 8, [characterised in that] wherein the smallest details of the microstructures [(31)] have lateral dimensions of 1 μ m to 1 mm.
10. [A] The steering device [according to] of [any of the preceding] claim[s] 1, [characterised in that] wherein the microstructures [(31)] have a thickness of 5 nm to 1 mm.
11. [A] The steering device [according to] of claim 10, [characterised in that] wherein the microstructures [(31)] have a thickness of 100 nm to 100 μ m.

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U.S. National Phase of PCT/EP00/02839

12. [A] The steering device [according to any] of [the preceding] claim[s] 1, [characterised in that] wherein the microstructures [(31)] have a level surface and are levelled by a [planarising] planarizing method.

13. [A] The steering device [according to any] of [the preceding] claim[s] 1, [characterised in that] wherein the microstructures are built up from or covered with tribological hard-material layered systems.

14. [A] The steering device [according to] of claim 13, [characterised in that] wherein the hard-material layered systems are single films or multi-layer films of TiN and/or TiAlN and/or TiCN films and/or aluminium oxide films and/or amorphous diamantine hydrocarbon films with and without metal doping and/or amorphous CN films and/or cubic boron nitride films and/or diamond films.

15. [A] The steering device [according to any] of [the preceding] claim[s] 1, [characterised in that] wherein the sensors [(35)] are arranged in the form of a line and/or array.

16. [A] The steering device [according to any] of [the preceding] claim[s] 1, [characterised in that] wherein the sensors [(35)] are optical sensors.

17. [A] The steering device [according to] of claim 16, [characterised in that] wherein the sensors [(35)] are optical fibreglass sensors.

18. [A] The steering device [according to] of claim 17, [characterised in that] wherein the sensors [(35)] are fibre-optical double or multiple sensors.

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19. [A] The steering device [according to any] of claim[s] 16 [to 18], [characterised in that] wherein the microstructures are in the form of a reflection hologram.
20. [A] The steering device [according to any] of claim[s] 1 [to 15], [characterised in that] wherein the sensors [(35)] are magnetic sensors.
21. [A] The steering device [according to] of claim 20, [characterised in that] wherein the magnetic sensors are in a linear arrangement for reading a multi-bit code, particularly an 8-bit code.
22. [A] The steering device [according to] of claim 20 [or 21], [characterised in that] wherein the sensor [(35)] has a reading head with polar structures arranged on an arc matching the diameter of the steering shaft [(20)].
23. A method of making a steering device [according to any of the preceding claims, characterised in that the] including a steering shaft, the method comprising the steps of:
applying coded microstructures on the steering shaft [(20)] or on [the] a device non-positively connected to the shaft [are produced] using thin film methods, and that structuring is effected by photo-lithographic methods[.];
detecting the microstructures and outputting an associated measuring signal; and
evaluating the measuring signal to determine appropriate action for steering control.
24. [A] The method [according to] of claim 23, [characterised in that] wherein the thin-film method is a PVD and/or CVD method.

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U.S. National Phase of PCT/EP00/02839

25. [A] The method [according to] of claim 23 [or 24], [characterised in that] wherein the microstructures are formed by a dry etching process and/or a wet-chemical etching process.

26. [A] The method [of making a steering device according to any] of claim[s 1 to 22] 23, [characterised in that] wherein the microstructures are produced by a laser beam process.

27. [A] The method [according to] of claim 26, [characterised in that] wherein the laser beam process used is a direct-writing laser ablation process and/or a laser-lithographic process and/or a direct-action mask-related laser-structuring process.

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Substitute
Specification
09/937996

JC09 Rec'd PCT/PTO 2 8 SEP 2001

STEERING DEVICE FOR VEHICLES

BACKGROUND

1. Technical Field

The present application is directed to a steering device for vehicles, and in particular to a steering device comprising a steering shaft, a sensor for determining the movement of the steering shaft, and a circuit for evaluating the measuring signals of the sensor.

2. Background of Related Art

Vehicle steering mechanisms may take different forms. Rack and pinion steerage is used particularly often. With rack steerage, a driver exerts a torque on a steering column via a steering wheel. Direct power transmission then continues via a pinion, i.e. a gear wheel, to a rack. Longitudinal movement of the rack results in longitudinal movement of a steering shaft in, or on which, the rack is mounted. The steering shaft in turn moves the steering gear, with the vehicle wheels arranged on it, and is steered in this manner.

To assist the direct power transmission by the driver it is also known to use hydraulic power-assisted steering mechanisms, in which a pressure chamber runs a piston fixed to the steering shaft. By controlling the pressure in the chamber filled with hydraulic oil the piston can be moved, thereby assisting the steering gear in addition to the power transmission by the driver. Alternatively, the pinion drive may be assisted by an electric motor.

In order to provide these various forms of assistance it is naturally desirable to have a measuring signal available which correlates with the state of the steerage. The signal could then take over appropriate control to boost the steering, for power-assisted steering and similar purposes, and could also allow

1 for self-regulating systems. Over and above the control of the servo mechanism,
2 allowance should also be made for boosting measures to optimise the steering and
3 attenuation action of motor vehicles or simultaneous control of all four wheels and
4 other intelligent steering systems.

5
6 Various proposals have already been made for obtaining a signal which
7 correlates with the state of the steerage.

8
9 Thus, it is proposed in DE 40 29 764 A1 to arrange length measuring
10 means between the steering wheel and the front axle, responding to displacement
11 of the steering rack. Inductive or ohmic devices are proposed for these means.
12 A design with two magneto-resistive sensors is known from EP 0 410 583 B1.
13 Here, the magnetic coupling is changed on movement of the steering shaft, thus
14 enabling the position to be determined. However, this involves changing the
15 geometry of the steering shaft and also providing it with a groove, which apart
16 from the expense, gives it a certain susceptibility to trouble. EP 0 376 456 B1 also
17 operates with a magnet which is arranged on the steering shaft and surrounded by
18 an induction coil. A change in induction can be associated with a change in
19 displacement.

20
21 Steering angle sensors operating with magnetic field sensors, so-called
22 Hall sensors, are known from DE 197 03 903 A1 and DE 197 52 346 A1.

23
24 These known proposals have the drawback that measurement only allows
25 restricted accuracy. Another problematic feature is that the measurements are
26 relative, so that measuring errors add up over time. The proposals are not,
27 therefore, practicable for use in intelligent steering systems.

1 It is known from DE 37 03 591 C2, in a rack steering mechanism at the
2 end of the steering column, to measure the rotary angle of the column by
3 appropriately acting on an induction coil or a piezo power-measuring cell.
4 However, the end of the steering column also carries the power transmission to the
5 steering rack and is both structurally confined and unfavourable for measurements,
6 particularly as a great deal of malfunctioning may take place there.

7
8 There is, therefore, needed in the art a steering device in which it is
9 possible to pick up a signal correlating with the state of the steering mechanism
10 and more suitable for controlling intelligent steering systems of that type.

11 SUMMARY

12 The present invention is directed to a steering device which includes coded
13 microstructures which are provided on the steering shaft and/or on a device that
14 is connected to the steering shaft in a non-positive manner; a sensor which detects
15 the microstructures and outputs associated measuring signals; and an electronic
16 circuit to which the measuring signals of the sensor are fed, and which outputs
17 electronic signals to control the steering.
18
19

20 The invention proposes a steering device for vehicles which allows
21 absolute measurements of position. Therefore, the disadvantages associated with
22 the state of the art no longer exist. The steering device according to the invention
23 is more accurate and supplies reproducible measuring signals. Regulation and/or
24 control of the movement of the steering shaft becomes possible, particularly for
25 intelligent steering systems.
26

27 Advanced surface techniques with processes indicating the microstructure
28 are thus combined with a high-resolution sensor, i.e. a detection system, with an

1 appropriate electronic circuit. The term "microstructures" refers here to structures
2 with dimensions in the micrometer range.

3
4 The term "detect" refers particularly to processes where contact-free
5 recognition takes place, preferably optically or magnetically. However, other
6 detection methods which read, sense, feel or otherwise recognise also come into
7 consideration.

8
9 The invention allows absolute determination of the position of the steering
10 shaft in a rapid, high-resolution and reliable manner, with resolution in the low
11 micrometer range. Falsification or trouble from electromagnetic fields or in the
12 region of the steering mechanism either does not take place or is negligible.

13
14 The invention may be applied successfully in particular to advanced, so-
15 called intelligent steering systems.

16
17 It is possible to equip the actual steering shaft with microstructures. The
18 disadvantage of doing so would be the difficulty of manipulating the whole shaft
19 during the fitting process. In order to avoid this, smaller, interchangeable
20 elements which can be non-positively connected to the steering shaft, e.g. in bar
21 form, may be appropriately equipped, then inserted.

22
23 The microstructures are advantageously formed so that they contain
24 suitable coding, allowing the position of the steering shaft to be determined
25 accurately.

26
27 The microstructures are preferably detected by optical scanning methods,
28 particularly using elements from microsystem technology. Microsystem
29 technology is understood here as the fields of microstructure technology, micro-

1 optics and fibre optics. Microlenses with diameters down to about 10 μm and
2 focal lengths of the same order of magnitude may be used. If glass or other fibres
3 and very small diameters are used, the microlenses can be fixed directly on the end
4 face of the fibres. The entire system may have Y branches and is integrated with
5 individual modules to form a compact microsystem. The modules may, if
6 appropriate, be spatially offset over the optical fibres - for example to allow
7 optoelectronic components and the evaluating electronic means to be operated
8 optimally within low-temperature ranges.

9
10 Tribologically suitable film systems are advantageously applied to the
11 steering shaft or to a linear means connected thereto without play, described as a
12 device or measuring device. This may be done by thin film processes which have
13 proved successful in other industrial fields. Special microstructures are produced
14 by high-resolution structuring and etching processes. The microstructures are
15 constituted so that they can be read by the sensors.

16
17 The optical contrast, i.e. the difference in reflectivity, of the
18 microstructures to the steering shaft surface below them may for example be
19 modified, so that the pattern can be optically recognised by means of miniaturised
20 fibre optical systems. Another example is to make the microstructures in the form
21 of a reflection hologram, with coding as in the previous example (segment-wise)
22 and with reading effected by a suitable miniaturised optical system. The
23 functional layer may be crystalline or amorphous and the hologram may be written
24 in a phase or angle code. The hologram may function in one frequency range
25 (monochromatic) or more than one (coloured), and the information may be written
26 (to the hologram) by a digital or analog process.

27
28 Other physical methods may be employed instead of, or as well as, optical
29 sensors or optically detectable microstructures. Thus, microstructures may also

1 be formed in magnetic films, e.g. CoSm or NdFeB. The sensors could then in
2 particular be magnetic sensors, otherwise used in data storage technology.

3
4 Microstructures are produced on the steering shaft or on the device non-
5 positively connected thereto in the form of incremental markings. Tribologically
6 optimised layer systems are preferred, using high-resolution lithographic or laser
7 technology methods suitable for three-dimensional applications. The lithographic
8 methods considered are of the photo, electronic, X ray and/or ionic type.

9
10 Multiple-layer or composite structures may equally be employed.

11
12 The patterns formed are preferably dimensioned in micrometers. The layer
13 systems, combined with an appropriate sensory recognition system, enable the
14 current position to be determined absolutely, to an accuracy of only a few
15 micrometers.

16
17 In an advantageous embodiment of the invention two complementary,
18 parallel patterns are provided with suitable coding, e.g. bit coding. In one
19 embodiment the marking structure comprises strips which are optically
20 distinguishable by reflection, the strip patterns containing binary L/O coding.

21
22 In this way the displacement-measuring system, which may be fully
23 integrated into the steering mechanism, can recognise the current absolute position
24 of the steerage in every operating phase by means of the bit coding.

25
26 Various patterns are possible. For example a dual code, a Gray code or
27 even stepped codes known *per se* from relevant mathematical processes may be
28 used.

1 It is particularly preferable to use optical sensors, especially fibre-optical
2 double sensors, for scanning the markings and microstructures. Multiple sensors
3 are also possible, especially in array form.

4
5 In a preferred method the microstructures are produced by applying thin
6 film techniques. These techniques are advantageously PVD (physical vapour
7 deposition) and/or CVD (chemical vapour deposition). As already mentioned,
8 structuring is effected by lithographical processes.

9
10 The microstructures can also be formed by dry etching and/or wet
11 chemical etching.

12
13 Alternatively, they may be made by laser beam techniques, e.g. direct-
14 writing laser ablation processes and/or laser-lithographic processes and/or direct-
15 action, mask-related laser structuring methods.

16
17 The microstructures are preferably built up from tribological hard-material
18 layered systems. Single or multi-layer films may be used. They are preferably
19 made of titanium nitride (TiN) and/or titanium aluminium nitride (TiAlN) and/or
20 titanium carbonitride (TiCN) films and/or aluminium oxide films and/or
21 amorphous diamantine hydrocarbon films with or without metal doping and/or
22 amorphous diamantine carbon films with or without metal doping and/or
23 amorphous CN films and/or cubic boron nitride films and/or diamond films.

24 25 26 BRIEF DESCRIPTION OF THE DRAWINGS

27 It should be understood that the drawings are provided for the purpose of
28 illustration only and are not intended to define the limits of the invention. The
29 foregoing and other objects and advantages of the embodiments described herein

1 will become apparent with reference to the following detailed description when
2 taken in conjunction with the accompanying drawings in which:

3
4 FIG. 1 is a diagrammatic section through elements of an embodiment of
5 a steering device according to the invention;

6
7 FIG. 2 is an alternative embodiment to FIG. 1;

8
9 FIG. 3 is a diagrammatic representation of a microsystem-type sensor
10 system for an embodiment of the steering device according to the invention;

11
12 FIG. 4 is a detailed representation of a member from FIG. 3;

13
14 FIG. 5 is a detailed representation of an alternative embodiment of that
15 member from FIG. 3;

16
17 FIG. 6 is a detailed representation of another member from FIG. 3;

18
19 FIG. 7 shows an example of a microstructure;

20
21 FIG. 8 shows an alternative embodiment of FIG. 7;

22
23 FIG. 9 shows another alternative embodiment of FIG. 7;

24
25 FIG. 10 is a diagrammatic section through a microstructure;

26
27 FIG. 11 shows the FIG. 10 embodiment after a possible further processing
28 step;

29

1 FIG. 12 is a diagrammatic section through another embodiment similar to
2 FIG. 10;

3
4 FIG. 13 is a diagrammatic section through a third embodiment similar to
5 FIG. 10;

6
7 FIG. 14 shows the FIG. 13 embodiment after a possible further processing
8 step; and

9
10 FIG. 15 is a diagrammatic representation of an embodiment of a sensor.

11
12 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

13 A first embodiment of a steering device according to the invention is
14 shown in FIG. 1, and includes a mounting block 10, inside which there is a
15 pressure chamber 11 containing hydraulic oil 12, the chamber 11 being nearly full
16 of oil 12 as shown. The oil 12 is under a pressure p . In FIG. 1 the mounting block
17 10 is represented purely diagrammatically; it is substantially cylindrical here, with
18 considerable proportions of the block extending out of FIG. 1.

19
20 The steering shaft 20 runs approximately along the cylinder axis of the
21 mounting block 10. It thus extends through the pressure chamber 11 with the
22 hydraulic oil 12. The shaft 20 is provided with a steering rack 21, indicated here
23 in FIG. 1 by corresponding tooth signs. The rack 21 is driven by a pinion 22. The
24 pinion is coupled to the steering mechanism of a vehicle (not shown). When the
25 steering wheel e.g. of a passenger car is turned the corresponding torque is
26 transmitted through the pinion 22 to the rack 21 and displaces the whole steering
27 shaft 20 with it along the axis through the mounting block 10.

1 A piston 23 is also seated on the steering shaft 20 with a non-positive
2 connection thereto. It is arranged inside the pressure chamber 11 and thus in the
3 hydraulic oil 12, whereas the pinion 22 and rack 21 are located outside the
4 chamber 11.

5
6 The steering shaft 20 thus passes through the wall of the pressure chamber
7 11 in two places. Both places are sealed by seals 24, preferably Viton seals. The
8 piston 23 moves along with the shaft 20 by virtue of its non-positive connection
9 thereto. It fills the entire cross-section of the chamber 11. The piston 23, and
10 thus the steering shaft 20, can consequently be moved by changes in the pressure
11 of the hydraulic oil 12. This is a common method of strengthening the forces
12 exerted by the user of the vehicle through the pinion 22.

13
14 Suitable diameters for steering shafts 20 are about 20 to 40 mm, suitable
15 diameters for pressure chambers 11 about 40 to 70 mm, steering shafts 20 may
16 e.g. have lengths of the order of 800 mm, and the length of the pressure chamber
17 11 may e.g. be 200 to 400 mm. Quite different dimensions may of course be
18 appropriate according to the requirements for the steering device, as would be
19 known to those of skill in the art.

20
21 A mounting bore 13 is formed in the mounting block 10 outside the
22 pressure chamber 11. It extends from the outer wall of the block 10 to the through
23 bore in which the steering shaft 20 is located. The mounting bore 13 contains a
24 sensor 35 which may for example comprise the ends of a fibreglass sensory
25 mechanism.

26
27 In this particular region the outside of the shaft 20 is provided with
28 marking 30. The marking 30 comprises microstructures 31 arranged on top of the
29 shaft 20. These are coded axially of the shaft 20 so that different bit patterns pass

1 below the sensor 35 when the shaft 20 moves longitudinally relative to the
2 mounting block 10. The signals from the sensor 35 are passed to an electronic
3 circuit 40 (not specifically shown in FIG. 1). The circuit 40 can then determine
4 and transmit the position of the shaft 20 relative to the block 10 from the readings
5 of the sensor 35.
6

7 Apart from the longitudinal movement of the shaft 20 other movements
8 of the shaft are not important for the steering mechanism. Hence nothing
9 concerning any rotation of the shaft 20 is shown in FIG. 1. Any versions which
10 ensure that the pinion 22 runs appropriately over the steering rack 21 are possible
11 here.
12

13 Another, alternative embodiment is shown in FIG. 2 in a view similar to
14 FIG. 1.
15

16 In FIG. 2 the mounting block 10 will again be recognized, along with the
17 pressure chamber 11 and hydraulic oil 12. The steering shaft 20 with the rack 21
18 again passes through the block 10 and chamber 11. Here too, the pinion 22 drives
19 the rack 21. A piston 23 which can move inside the pressure chamber 11 is also
20 seated on the shaft 20.
21

22 In contrast with FIG. 1, a mounting bore 13 is not only provided, but
23 another mounting bore 14 is also provided outside the pressure chamber 11.
24

25 This difference enables two sensors 35 and 36 to be provided. Redundant
26 or complementary microstructures 31 of the marking 30 or microstructures
27 double-coded in another form can, therefore, be read out. The sensors 35 and 36
28 are preferably fibre optic reflection ones. The light source for the reflection
29 sensors is formed by light-emitting diodes (LEDs), which are spectrally adapted

1 to the hydraulic oil 12 used in the pressure chamber 11. Pentosin may preferably
2 be employed as the hydraulic oil 12.

3
4 The pressure p of the hydraulic oil 12 in the pressure chamber 11 is
5 regulated by valves in a valve control housing (not shown).

6
7 The steering shaft 20 is sealed at the openings where it passes into and out
8 of the pressure chamber 11 by seals 24, for example Viton seals. It thus has a
9 central position corresponding to the steering angle 0° . This is indicated as central
10 position X_0 in FIG. 2. Movement respectively to the right and left then takes place
11 in the direction of steering shaft position $+X$ (right) and in direction $-X$ (left).
12 These respective end positions correspond to a linear stroke which may typically
13 be ± 75 mm. It results in different stop angles of the steering mechanism
14 according to the type of vehicle. The linear stroke may also be smaller, e.g. ± 50
15 mm in individual cases, according to the type of vehicle.

16
17 In FIG. 2, the two mounting bores 13 and 14 are arranged outside the
18 pressure chamber 11, so the two individual sensors 35 and 36 are also arranged
19 outside it. It is also possible to provide an integrated pair of sensors.

20
21 In another embodiment, the sensor or sensors 35 and 36 may be positioned
22 inside the pressure chamber 11. The sensor or sensors may then, for example, be
23 spaced from the steering shaft 20 and pick up the steering shaft data as an optical
24 sensor through the hydraulic oil 12.

25
26 This enables the sensor to provide information about the turbidity of the
27 hydraulic oil 12 in the chamber 11, as well as reading the microstructures 31 of
28 the marking 30 on the steering shaft 20. The information can be used as a
29 criterion for changing the oil 12. A suitable transmitting wavelength for the

1 optical sensor 35 is selected according to the turbidity and spectral absorption of
2 the oil 12. A system of this type operates even when dirty with abraded particles
3 or an oil film, and preferably has suitable redundancy, fault tolerance and
4 azimuthal tolerance for safety reasons.

5
6 The sensors may be fibre optic sensors with two individual fibres. As
7 indicated in FIG. 2, the fibres may be parallel or inclined to each other to absorb
8 incoming and reflected light (not shown). However, it is also possible to use fibre
9 optic reflection sensors in a Y structure or to take into account arrangements with
10 fibre lines or fibre bunches.

11
12 The sensors 35 and 36 or a sensor system 37 (see FIG. 3 for such a system)
13 are employed as transmitters or receivers and may be coupled direct to the fibres
14 by a particularly temperature-resistant installation and connection method.
15 Alternatively, they may be arranged over a feed fibre located in a lower-
16 temperature region. In another embodiment, the sensor module is fabricated as a
17 compact, miniaturised (microtechnical) module and mounted in the system in
18 order to simplify assembly.

19
20 In another embodiment (not illustrated) designed to increase reliability and
21 avoid malfunctioning, two sensors 35 are juxtaposed azimuthally. These then
22 sense two complementary bit patterns, both in the form of individual markings 30
23 applied by the thin film method and arranged parallel, with corresponding
24 microstructures 31.

25
26 An embodiment of marking 30 with microstructures 31 is shown
27 diagrammatically in FIG. 3. Here, the steering shaft 20 is reproduced purely
28 diagrammatically as a cut-out; it extends parallel with the x-direction indicated.
29

1 A sensor system 37 with an array of fibre optical Y branches 38 can further
2 be seen. It has a module "A" for generating and coupling the light 51 into the
3 input or coupling-in fibres 39 of the fibre optical Y branching element 38.

4
5 A module "B" is also provided, with an array arranged in the y-direction
6 of lenses 52, particularly microlenses, for generating parallel output beam pencils.
7 The output beam pencils 53 fall onto the microstructures 31 of the marking 30 on
8 the steering shaft 20. These microstructures 31 form a succession of sequences.
9 Position-specific selective retroreflection takes place. The retroflected light passes
10 back through the lenses 52 into the fibres of module B and thence to a module C
11 for uncoupling and detecting the light 55 retroflected and leaving the fibre optical
12 Y branching element 38.

13
14 Moreover in FIG. 3:

15
16 $\pm x$ is the axial direction, i.e. the direction of movement of the steering shaft;
17 $\pm y$ is the azimuthal direction, i.e. the direction in which the position-specific
18 bit pattern is arranged; and
19 z is the direction in which the sensor system is installed.

20
21 Coordinates x and z are orthogonal to each other; coordinate z points in the
22 direction of the tangent to the surface of the steering shaft 20 which is orthogonal
23 to x and z.

24
25 FIG. 4 shows a detail from FIG. 3, namely a first version of a transmitting
26 and coupling-in module "A" with a single source 51, a single lens 52 and a
27 plurality of coupling fibres 39 of the Y branching element 38.

1 FIG. 5 shows an alternative to FIG. 4, a different version of a transmitting
2 and coupling-in module "A" with an array of lenses 52. The fibres are bunched
3 then separated again as coupling fibres 39 of the Y branching element 38.

4
5 FIG. 6 shows another detail from FIG. 3, namely an embodiment of an
6 uncoupling, reception and assessment module "C" with uncoupling fibres 54
7 bunched along a certain length, an array of lenses 52, a line of detectors 56, the
8 electronic circuit 40 with the electronic assessment means and the output signal
9 60 with the "position of the steering shaft".

10
11 FIG. 7 shows 8-bit coding in a radial direction and periodic displacement
12 marks in an axial direction.

13
14 FIG. 8 shows an example of an arrangement of blocks with individual
15 coding.

16
17 FIG. 9 shows an example of an arrangement of different structure
18 sequences and a guide structure with periodic division for tracking with azimuthal
19 displacement.

20
21 FIGS. 10 - 14 show embodiments of possible methods of producing the
22 microstructures 31. A coded pattern is produced on a basic member 81, which
23 may also be the steering shaft 20 or another device non-positively coupled thereto.
24 For a version where detection is to take place by optical blanking of the patterns
25 the basic member 81 is surface-treated with a focused laser beam, so that laser-
26 ablative processes at the point of action cause stripping and thus lasting marking
27 (cf. FIG. 10).

Eximer lasers are preferably used for this purpose, owing to their high resolution. The pattern thus produced can then be covered with a friction and wear-reducing film 82, as shown in FIG. 11. A metal-doped amorphous hydrocarbon film is well suited as such a covering film in the region of the steering shaft, and is preferably applied in a thickness of 0.5 to 5 μm by known plasma-supported PACVD processes (magnetron sputtering processes with a substrate bias and a hydrocarbon gas, preferably C_2H_2). Titanium or tungsten is preferably employed as the doping metal for this application. The metal-doped amorphous hydrocarbon layer may, for example, be produced using a Leybold large capacity sputtering plant, model Tritec 1000 with two tungsten targets installed. The plant has a rotary holder which can accommodate up to 20 steering shafts according to the equipment. After the normal pumping process whereby the chamber is pumped out to about 10^{-5} hPa, argon is admitted up to a pressure of 3×10^{-3} hPa and the substrate is surface-cleaned by ion bombardment at a bias potential of 100 to 300 V. The targets are pre-sputtered at about 6 KW in the process. A graded film of tungsten-doped hydrocarbon is formed without interrupting the plasma, by opening the target covers and successively adding C_2H_2 to the process. A few minutes later the C_2H_2 gas flow is adjusted to bring the ratio of tungsten to carbon in the layer to 5 - 10%. During the production of the metal-doped amorphous hydrocarbon film the substrates are coupled with a bias potential of from about 100 to 300 V, preferably 200 V. Under these conditions a film thickness of about 1 μm is applied in half an hour.

Other solutions explaining the use of a structured film are shown in FIGS. 12 - 14. The film structure may be utilized for different sensing principles. In the case of optical detection, film structures may e.g. have an appropriate contrast (surface or edge contrast) with the surrounding surface. The film structure may, however, be produced from a magnetic material and read by means of a magnetic

1 sensor or a magnetic sensor matrix. In such a case a magnetic film is used,
2 preferably a film of CoSm or FeSi or NdFeB, with or without additives.

3
4 The steering shaft 20 or basic element 81 is coated in a vacuum process,
5 in this case with two films 83, 84, the lower film 83 respectively being a metal-
6 doped amorphous hydrocarbon film onto which a TiN film is deposited. The
7 thickness of the upper film 84 is approximately 0.5 μm . TiN is preferably used in
8 combination with a Ti-doped hydrocarbon film. The ethine is merely substituted
9 by nitrogen, again without interrupting the plasma. The film 84 is structured by
10 photo-lithography, by coating the coated steering shaft 20 with a photosensitive
11 resist. It is approximately 2.5 μm thick. The patterns are then produced over a
12 large area on the shaft by means of a mask.

13
14 When the resist pattern has developed, the TiN film 84 is removed from
15 places where there are no photosensitive resist patterns, by wet-chemical etching
16 using known etching agents.

17
18 Patterns may also be made countersunk, i.e. planarized, as shown in
19 FIG. 13. In such a case, the steering shaft 20 is coated with, for example, a W-
20 doped amorphous hydrocarbon film 85, after which a photoresist pattern is formed
21 on it. By means of photoresist masking a 0.2 - 1.0 μm depression is then etched
22 in the W-doped amorphous hydrocarbon film in a reactively conducted plasma
23 etching process (etching gases Ar/SF₆). The photoresist mask is maintained and
24 the depression is then refilled by sputtering e.g. TiN. This makes the surface even
25 microscopically smooth.

26
27 A further embodiment is illustrated in FIG. 14, where a tribologically
28 optimised film 86 for the previously described substructure is applied. In this

1 case, even film materials which do not necessarily have good tribological
2 properties may be used to form the pattern.

3
4 An embodiment of a sensor 35 is shown in FIG. 15. This is a magnetic
5 sensor. It comprises a linear arrangement of magnetic sensors which can read a
6 magnetic structure e.g. in an 8-bit code. The polar structures of the reading head
7 are shown; operating safety is improved and the number of codings increased by
8 using a second line. The sensor 35 may, for example, be made from known
9 magnetoresistive or inductive single sensors produced by similarly known thin
10 film methods. To minimize the spacing from the magnetic microstructures on the
11 steering shaft 20, the polar structures of the reading sensors are arranged on an arc
12 matching the diameter of the shaft.

13
14 It will be understood that various modifications may be made to the
15 embodiments disclosed herein. Therefore, the above description should not be
16 construed as limiting, but merely as exemplifications of a preferred
17 embodiment(s). Those skilled in the art will envision other modifications within
18 the scope and spirit of the invention.

19
20 WHAT IS CLAIMED IS:

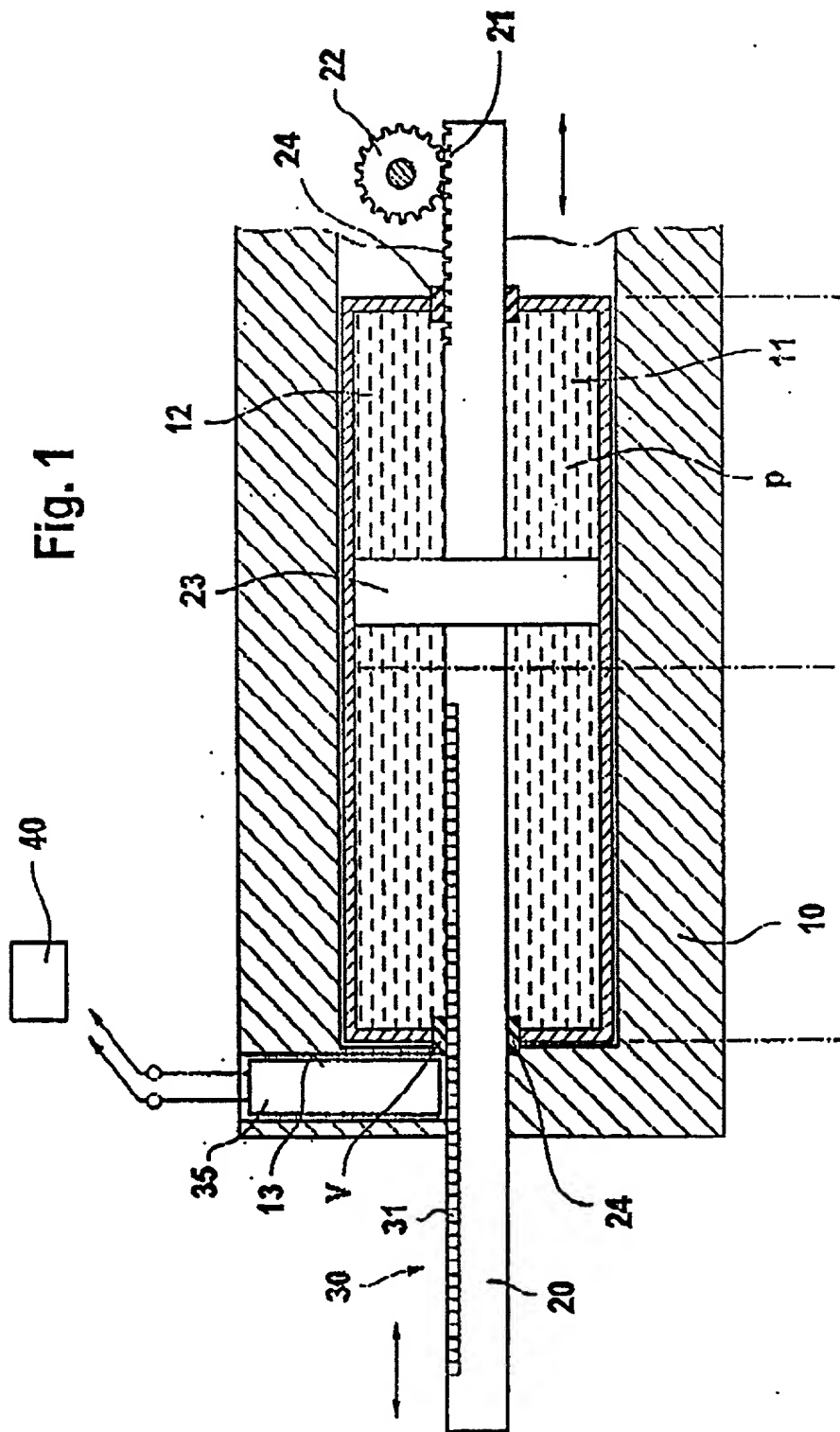


Fig. 3

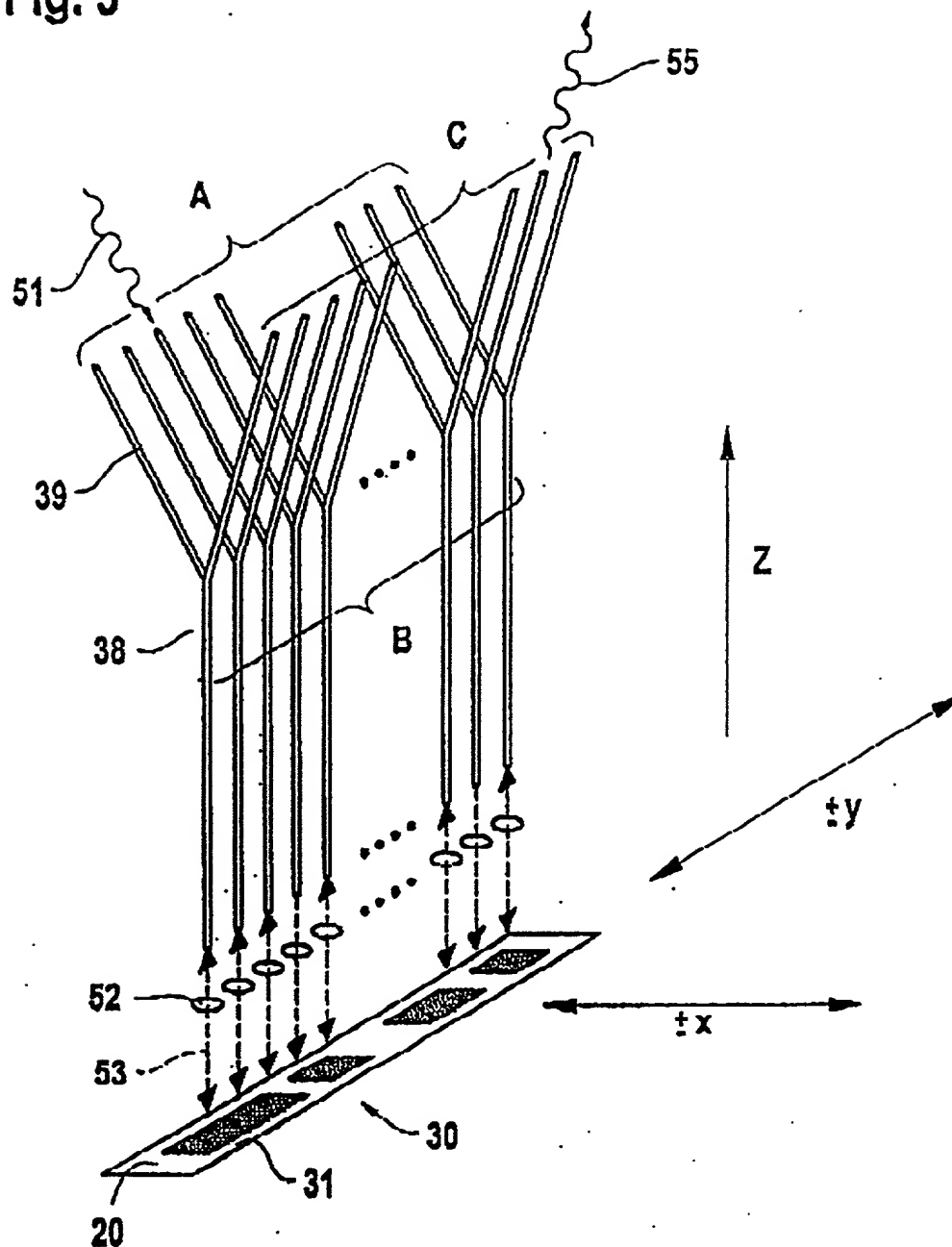


Fig. 4

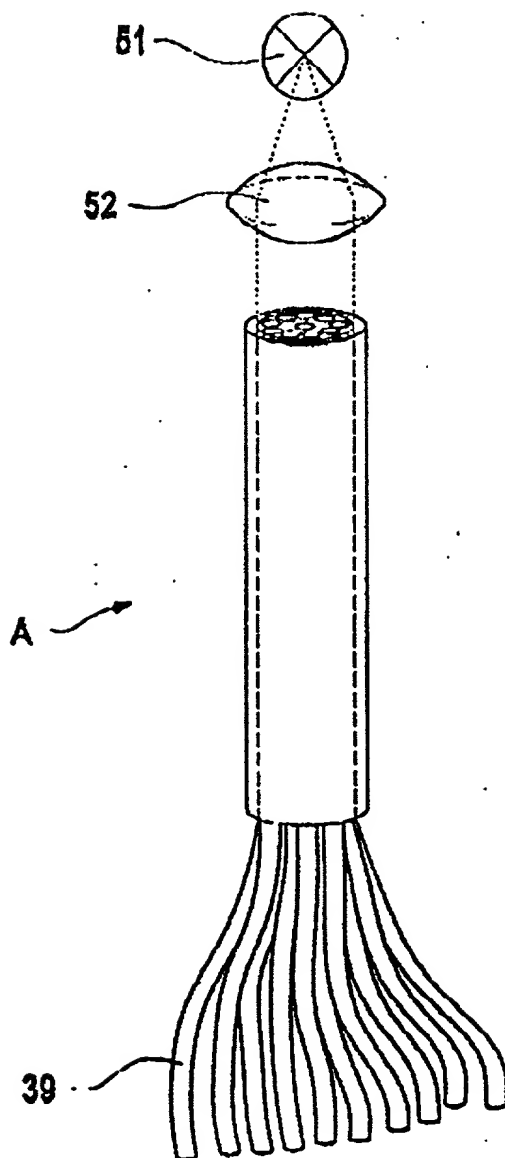


Fig. 5

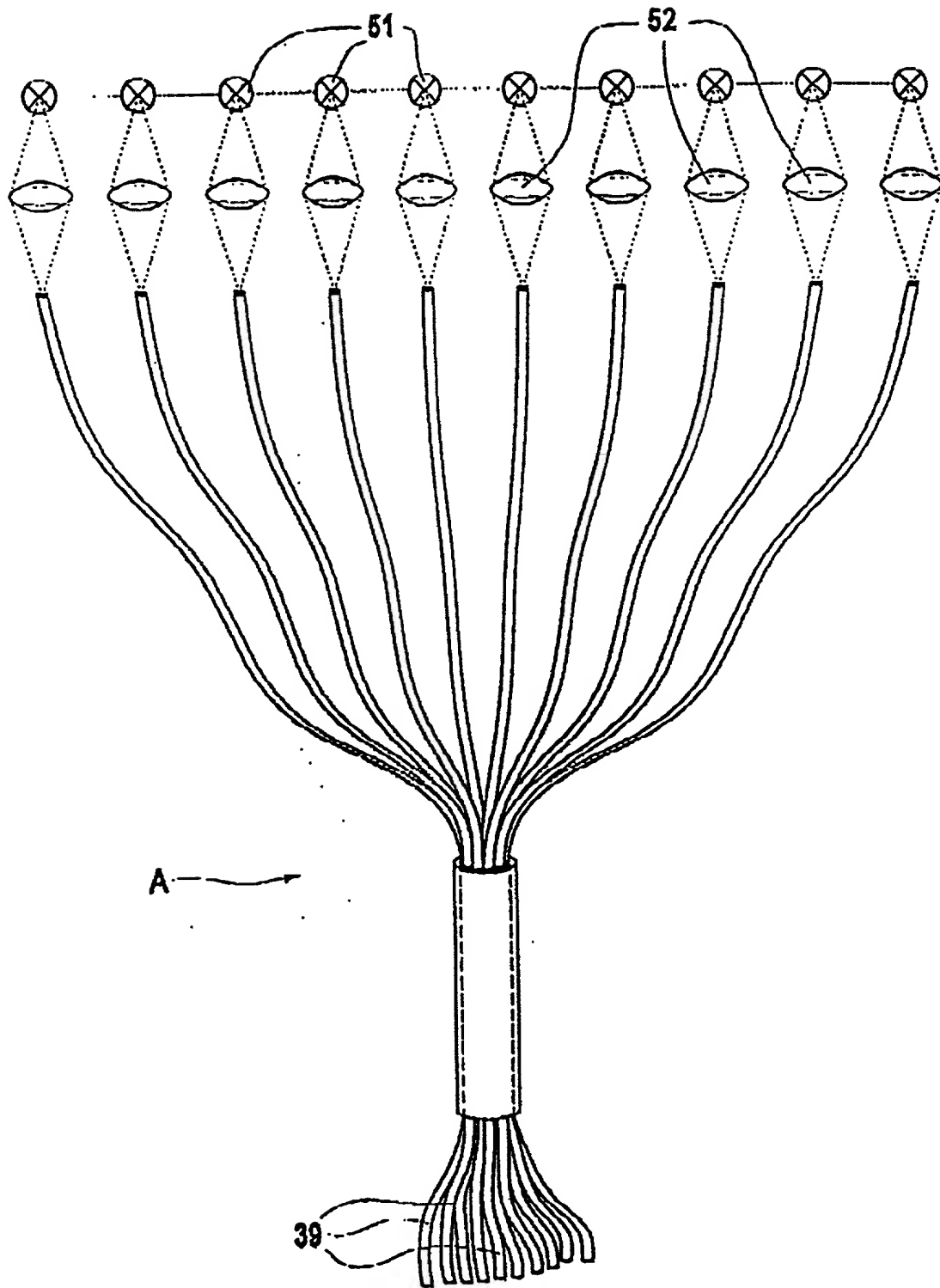


Fig. 6

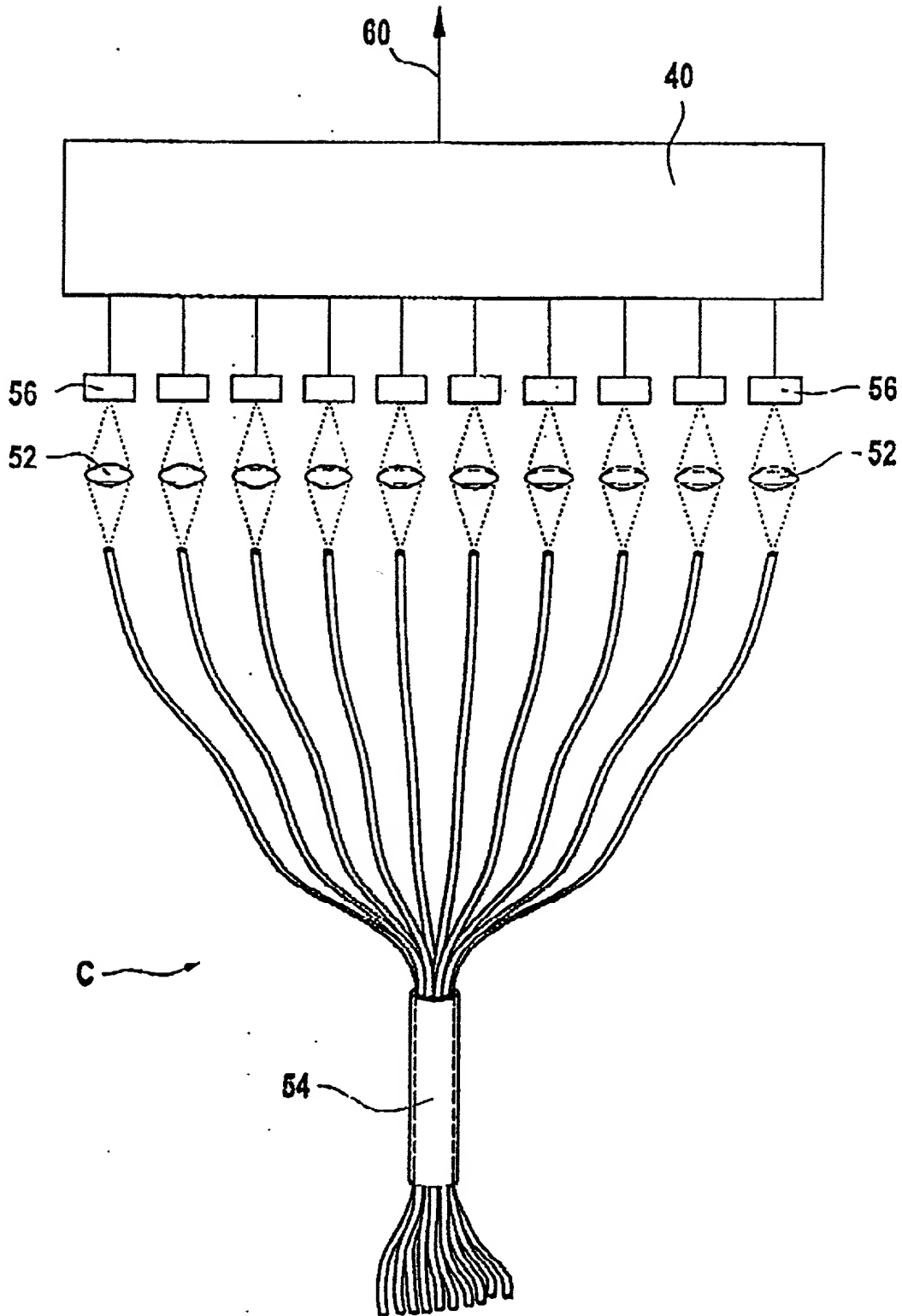


Fig. 7

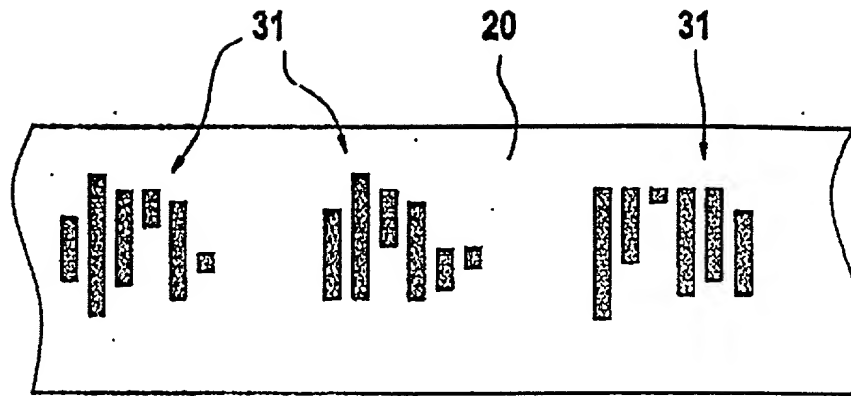


Fig. 8

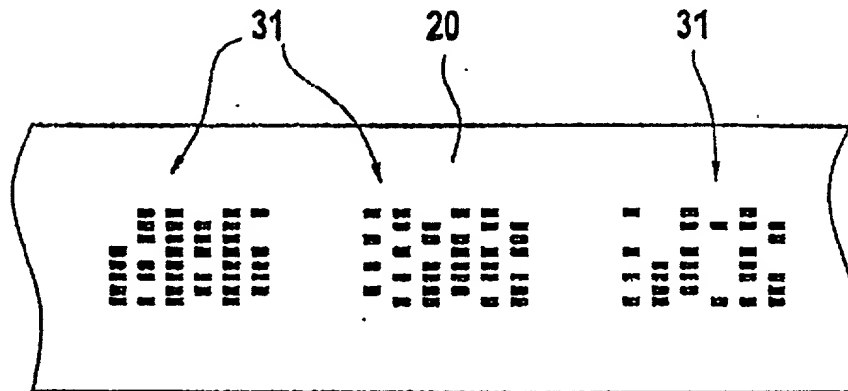


Fig. 9

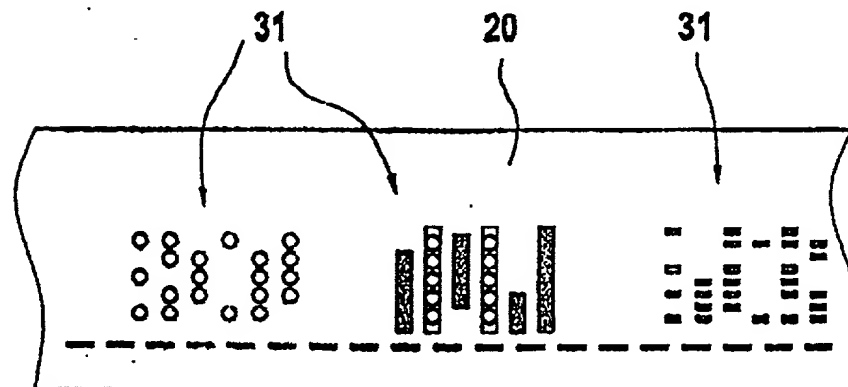


Fig. 10

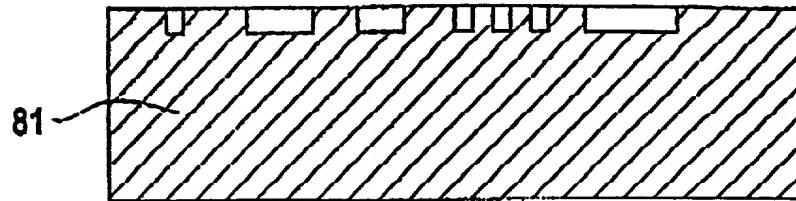


Fig. 11

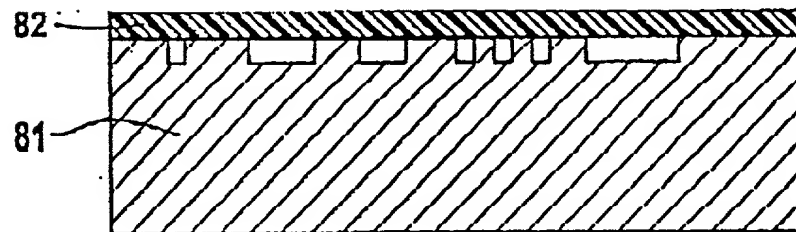


Fig. 12

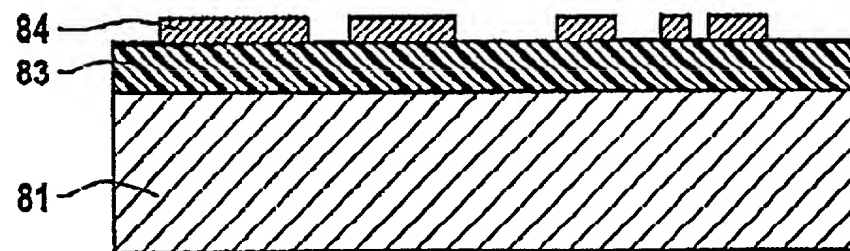


Fig. 13

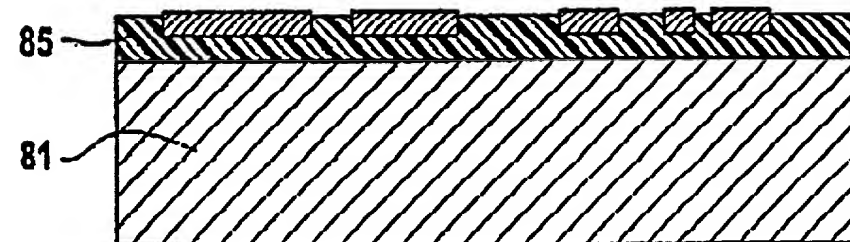
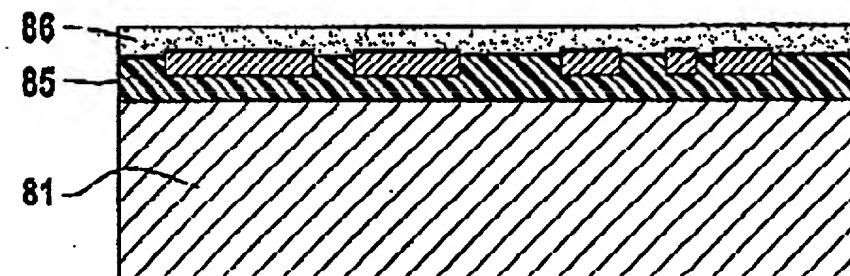


Fig. 14



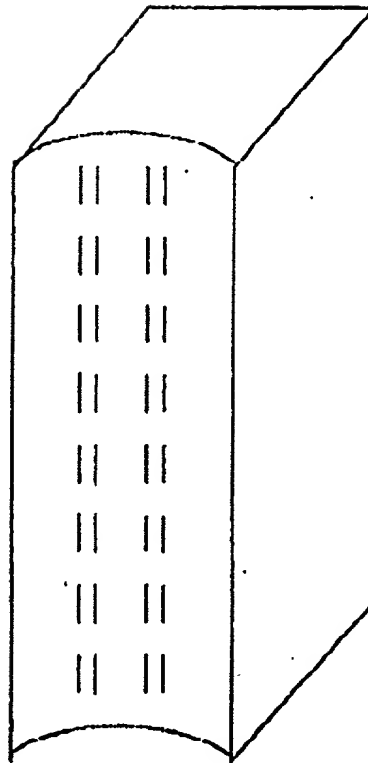


Fig. 15

COMBINED DECLARATION AND POWER OF ATTORNEY

**(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION, OR C-I-P)**

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is for a national stage of PCT application.

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and joint inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

STEERING DEVICE FOR VEHICLES

SPECIFICATION IDENTIFICATION

The specification was described and claimed in PCT International Application No. PCT/EP00/02839 filed on March 30, 2000.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56.

PRIORITY CLAIM (35 U.S.C. Section 119(a)-(d))

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Such applications have been filed as follows.

**PRIOR FOREIGN APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. SECTION 119(a)-(d)**

COUNTRY	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 U.S.C. SECTION 119
DE	199 15 105.9	1 April 1999	yes

POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

APPOINTED PRACTITIONER(S)

REGISTRATION NUMBER(S)

Jodi-Ann McLane

36,215

Michele J. Young

43,299

Elliot A. Salter

17,486

I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Jodi-Ann McLane
401-421-3141

Jodi-Ann McLane
Reg. No. 36,215
Salter & Michaelson
321 South Main Street
Providence, RI 02903-7128
Tel: 401-421-3141
Fax: 401-861-1953
Customer Number 000987

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Holger LUTHJE

Inventor's signature _____

Date _____

Country of Citizenship Germany

Residence Braunschweig Germany

Post Office Address Burbacher Strasse 2, Braunschweig 38116 Germany

Rainer KIST

Inventor's signature _____

Date _____

Country of Citizenship Germany

Residence Freiburg Germany

Post Office Address Heidenhofstrasse 8, Freiburg 70771 Germany

Thomas REUL

Inventor's signature _____

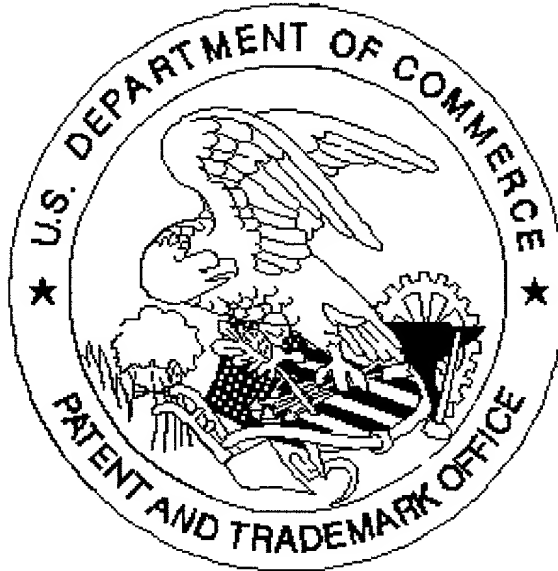
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Country of Citizenship Germany

Residence Leinenfelden-Echterdingen Germany

Post Office Address Stadionstrasse 4, Leinenfelden-Echterdingen 70771 Germany

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